

P21252.A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :S. HASHIMOTO et al.

Appl No. : 09/868,924

I.A. Filed : January 20, 2000



Group Art Unit: 16

Examiner: Unknown

TECH CENTER 1600/2900

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A-1-10-02  
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For : PROCESS FOR PRODUCING HMG-CoA REDUCTASE INHIBITORS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Pursuant to the duty of disclosure set forth in 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, the following documents are brought to the attention of the Examiner:

The Journal of Antibiotics, Vol. 29, p. 1346 (1976), which is cited and discussed in the specification, beginning at page 1, second paragraph;

Japanese Patent Publication No. 57-50894 (accompanied by an English language abstract, and corresponding U.S. Patents Nos. 4,346,227; 4,410,629; and 4,448,979 to Terahara et al.), which is cited and discussed in the specification, beginning at page 2, second paragraph;

Japanese Patent Publication No. 7-184670 (accompanied by an English language abstract and corresponding U.S. Patent No. 6,043,064 to Davis et al.), which is cited and discussed in the specification, beginning at page 2, second paragraph;

International Publication No. WO 96/40863, which is cited and discussed in the specification, beginning at page 2, second paragraph;

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Japanese Patent No. 2672551 (accompanied by corresponding Japanese Patent Publication No. 64-002585 and an English language abstract thereof), which is cited and discussed in the specification, beginning at page 2, second paragraph;

P.F. Stansbury et al., Basic Fermentation Technology (Hakko Kogaku no Kiso), pp. 169-190 (Japan Scientific Societies Press 1988), which is cited and discussed in the specification, beginning at page 3, first paragraph; and

Sankyo Research Laboratories Annual Report, Vol. 37, p. 147 (1985), which is cited and discussed in the specification, beginning at page 24, third paragraph.

Copies of the foregoing documents are provided herewith, with the exception of P.F. Stansbury et al., Basic Fermentation Technology (Hakko Kogaku no Kiso), pp. 169-190 (Japan Scientific Societies Press 1988), which is cited and discussed in the specification, beginning at page 3, first paragraph; and Sankyo Research Laboratories Annual Report, Vol. 37, p. 147 (1985), which is cited and discussed in the specification, beginning at page 24, third paragraph, which will be forwarded shortly.

The following documents were cited in an International Search Report and International Preliminary Examination Report issued in connection with International Application No. PCT/JP00/00245:

WO 99/07872 (accompanied by corresponding U.S. Patent No. 6,245,535 to Takano et al.);

WO 99/10499; and

N. Serizawa et al., "Biochemical and Molecular Approaches for Production of Prevastatin, a Potent Cholesterol-Lowering Drug," Biotech. Ann. Rev., Vol. 2, pp. 373-389 (1996).

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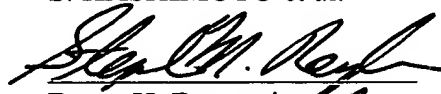
Copies of the foregoing documents are provided herewith. Copies of the International Search Report and International Preliminary Examination Report were filed with the application in this case. The Examiner is referred to the English translations of the International Search Report and International Preliminary Examination Report for an indication of the relevance given by the Examiner to the cited documents relative to the claims of the PCT application.

The Examiner is requested to consider the aforementioned documents and to indicate such consideration by returning a signed and initialed copy of the PTO-1449 Form with the first Official Action on the merits.

Applicants note that an Office Action on the Merits has not issued in the instant application. However, if an Office Action on the Merits has issued, and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for consideration of this statement, including any payment under 37 C.F.R. 1.17(p), to be charged to Applicant's Deposit Account No. 19-0089.

Should there be any questions regarding the above or the present application, the Examiner is invited to contact the undersigned at the below-listed number.

Respectfully submitted,  
S. HASHIMOTO et al.

  
Bruce H. Bernstein  
Reg. No. 29,027  
*Reyno*  
*21,296*

December 17, 2001  
GREENBLUM & BERNSTEIN, P.L.C.  
1941 Roland Clarke Place  
Reston, VA 20191  
(703) 716-1191